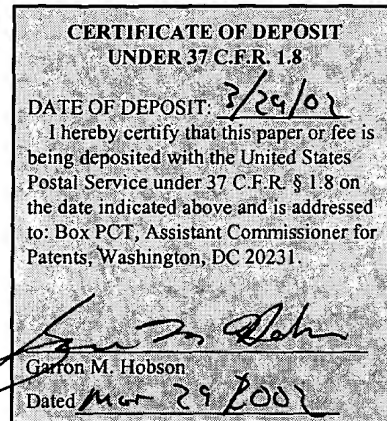


#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Niemi
SERIAL NO.: 09/980,515
I.A. FILED: 05/05/2000
I.A. PCT NO.: PCT/FI00/00400
FOR: METHOD AND APPARATUS
FOR MONITORING AND
ANALYZING THE SURFACE
OF FLOATED MATERIAL
DOCKET NO.: 20366.US



**RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS UNDER 35 U.S.C.371
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Requirements of the Application mailed February 13, 2002, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- ☐ An Assignment from the inventors to; [_____].
- ☐ A Verified Statement Claiming Small Entity Status signed by an officer of;
- ☒ A copy of the Notice to File Missing Requirements of the Application;
- ☒ Combined inventor Declaration, Power of Attorney, and Petition;

___ Prior Art Statement, Form PTO-1449, and copies of cited prior art;

X Check in the amount of \$107.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$		\$
TOT. CLAIMS	-20 =		x 9 =	\$	x 18 =	\$
IND. CLAIMS	4-03 =		1 x 42 =	\$ 42.00	x 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			\$280		\$280	
ASSIGNMENT FILING FEE			\$ 40		\$ 40	
SURCHARGE FEE				\$ 65.00		
			TOTAL	\$107.00	TOTAL	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Please address all future correspondence in connection with this case to the attention of the undersigned.

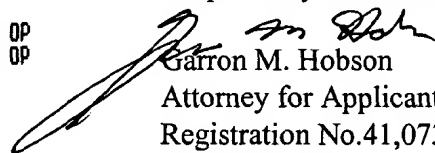
DATED this 29 day of mar, 2002.

04/16/2002 LLANDGRA 00000085 09980515

01 FC:254
02 FC:965

65.00 OP
42.00 OP

Respectfully submitted,



Garron M. Hobson
Attorney for Applicant
Registration No.41,073
THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

Enclosures

H:\FILES\20000\20366.US\20366.US missing parts.wpd

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label No.:

EL 327005821US

Date of Deposit:

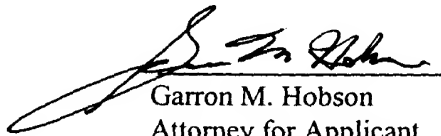
November 2, 2001

I hereby certify that the enclosed Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, along with the accompanying documents, Check No. 13 275^{445.00} in the amount of ~~\$520.00~~, a return postcard, --is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, **Box PCT**, Washington, D.C. 20231.

Respectfully submitted this 2 day of November, 2001.

Respectfully submitted,

THORPE NORTH & WESTERN, L.L.P.



Garron M. Hobson
Attorney for Applicant
Registration No. 22,788
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

Attorney Docket No. T20366.US

RECEIVED



UNITED STATES PATENT AND TRADEMARK OFFICE & Western

Commissioner for Patents, Box 901
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO
09/980,515	Antti Niemi	20366.US

INTERNATIONAL APPLICATION NO

PCT/FI00/00400

I.A. FILING DATE	PRIORITY DATE
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05/05/2000

05/05/1999

Garron M Hobson
Thorpe North & Western
PO Box 1219
Sandy, UT 84091-1219

CONFIRMATION NO. 5576

371 FORMALITIES LETTER



OC000000007467968

Date Mailed: 02/13/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Small Entity Statement

DOCKETED

Description	Due Date	Initials
<u>Missing Parts</u>	<u>4-13-02</u>	<u>JL</u>
<u>AL 2-26-02</u>		
INITIAL		

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of **\$204** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$269** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$204**
 - **\$168** for ~~4~~ independent claims over 3.
 - **\$36** for **4** total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,515	PCT/FI00/00400	20366.US